

Attorney's Docket No.: 80398.P160

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Tadamasa Kitsukawa, et al.

Application No.: 09/218,120

Filed: December 21, 1998

For: A METHOD AND APPARATUS FOR
PROVIDING ELECTRONIC COUPONS

)
) Examiner: Not Yet Assigned
)
) Art Unit: 2714
)
)
)
)
)
)
)

Assistant Commissioner for Patents
Washington, D.C. 20231
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing parts of Application mailed
January 28, 1999, please find enclosed:

- (1) duly executed Declaration and Powers of Attorney with respect to
the above referenced patent application by inventors Tadamasa Kitsukawa,
Andrew M. Proehl, Gong Szeto, and Keiichi Totsuka
- (2) a check for \$130.00 in payment of the surcharge of 37 C.F.R. §
1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application.

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail with sufficient postage
in an envelope addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231

on March 29, 1999
Date of Deposit
Cindy Baglietto
Name of Person Mailing Correspondence
Cindy Baglietto 3/29/99
Signature Date

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 29, 1999

Maria McCormack Sobrino
Maria McCormack Sobrino
Reg. No. 31,639

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598



Se for 8

PTO/SB/17(10/96)

Approved for use through 09/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known:

Application No. 09/218,120
Filing Date December 21, 1998
First Named Inventor Tadamasa Kitsukawa
Group Art Unit 2714
Examiner Name Not Yet Assigned
Attorney Docket No. 80398.P160

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666
Deposit Account Name _____

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)

2. ☒ Payment Enclosed
☒ Check
____ Money Order
____ Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	760	201	380	Utility application filing fee	_____
106	310	206	155	Design application filing fee	_____
107	480	207	240	Plant filing fee	_____
108	760	208	380	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1)					\$ 0

2. CLAIMS

		Extra		Fee from below	Fee Paid
Total Claims	_____ - 20 =	_____	X	_____	_____
Independent Claims	_____ - 3 =	_____	X	_____	_____
Multiple Dependent Claims	_____	_____	X	_____	_____

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of twenty	_____
102	78	202	39	Independent claims in excess of 3	_____
104	260	204	130	Multiple dependent claim	_____
109	78	209	39	Reissue independent claims over original patent	_____
110	18	210	9	Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2)					\$ 0

FEE CALCULATION (continued)
3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Code</u>	<u>Fee (\$)</u>	<u>Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	_____
Other fee (specify)		Payment of the surcharge of 37 CFR 1.16(e); Missing Parts			<u>130.00</u>
Other fee (specify)					_____

SUBTOTAL (3) \$ 130.00

*Reduced by Basic Filing Fee Paid

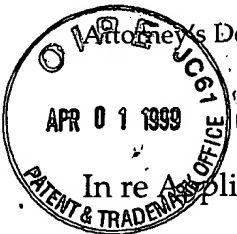
SUBMITTED BY:

Typed or Printed Name: Maria McCormack Sobrino

Signature Maria McCormack Sobrino Date March 29, 1999

Reg. Number 31,639 Deposit Account User ID _____
(complete if applicable)

RECEIPT
#24



Attorney's Docket No.: 80398.P160

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAY 26 1999

Group 2700

In re Application of:

RECEIVED

Brian S. Doyle, et al.

APR 14 1999

Examiner: Not Yet Assigned

Application No.: 09/218,120

Group 2700

Art Unit 2714

Filed: January 13, 1999

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

For: A TRANSISTOR WITH REDUCED SERIES
RESISTANCE JUNCTION REGIONS

on March 29, 1999
Date of Deposit
Candy Baglietto
Name of Person Mailing Correspondence
Candy Baglietto
Signature
3/29/99
Date

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

On February 8, 1999, we received a corrected official filing receipt in connection with the above-identified patent application (copy enclosed).

However, the following information needs to be corrected due to typographical error occurring in the inventors' names and the correspondence name. Please change:

FROM:

Inventor(s): ANDY PROEHL, NEW YORK, NY; GONG SZEDO, NEW YORK, NY.

TO:

Inventor(s): ANDREW M. PROEHL, NEW YORK, NY; GONG SZETO, NEW YORK, NY.

and

FROM:

Correspondence Name: BLANKLEY, SOKOLOFF, TAYLOR AND ZAFMAN

TO:

Correspondence Name: BLAKELY, SOKOLOFF, TAYLOR AND ZAFMAN

Please correct your records to reflect the above information and then forward to us a corrected filing receipt.

If you have any questions please contact the undersigned.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: March 29, 1999

Maria McCormack Sobrino

Maria McCormack Sobrino
Registration No. 31,639

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8598

ENTERED
FEB 08 1999



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

ALG

FILING RECEIPT

STATUS DB-1A

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/218,120	12/21/98	2714	\$2,212.00	80398.P160	11	92	5

Blakely
BLANKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD
7TH FLOOR
LOS ANGELES CA 90025



RECEIVED
FEB 08 1999

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Sony Electronics
UTS

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

TADAMASA KITSUKAWA, WYCKOFF, NJ; ~~ANDY PROEHL~~ ^{Andrew M. Proehl}, NEW YORK, NY; GONG ~~SEDO~~ ^{Syeto}, NEW YORK, NY; KEIICHI TOTSUKA, WEEHAWKEN, NJ.

FOREIGN FILING LICENSE GRANTED 01/20/99

TITLE

METHOD AND APPARATUS FOR PROVIDING ELECTRONIC COUPONS

PRELIMINARY CLASS: 348

RECEIVED

APR 14 1999

Group 2700

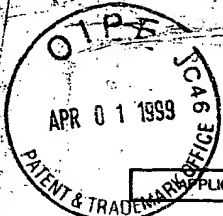
RECEIVED

MAY 26 1999

Group 2700

DATA ENTRY BY: DADE, JOAN

TEAM: 03 DATE: 01/28/99



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/218,120	12/21/98	KITSUKAWA	0232/0128

BLANKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD
7TH FLOOR
LOS ANGELES CA 90025

NOT ASSIGNED

2714

DATE MAILED:

01/28/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unexecuted

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
☐ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
☐ 9. OTHER:

Direct the reply and any questions about this notice to: Attention: Business and Legal Administration Section, Patent and Trademark Office, Washington, D.C. 20231

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

Enclosed with this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Date of Deposit: March 29 1999
Name of Person Mailing Correspondence: Cindy Baalietto
Signature: [Signature]
Date: 3/29/99

BEST AVAILABLE COPY